

Responsible Investigator Policy

Title:	Responsible Investigator
Last Reviewed Date:	02-05-2026
Last Revised Date:	02-05-2026
Effective Date:	03-26-2004
Applies To:	Faculty, staff and students, others
Contact:	EHS Biological Health and Safety 860-486-1838 ehs@uconn.edu

1 PURPOSE

To ensure all biological research activities at University of Connecticut facilities are supervised during an extended absence of the Principal Investigator.

2 APPLIES TO

This policy applies to anyone at the Storrs, Avery Point, Hartford, Stamford or Waterbury campuses, assigned the role of Principal Investigator (PI) or Project Director (PD).

3 POLICY STATEMENT

A PI/PD must designate a Responsible Investigator (RI) to oversee research projects when they will be on sabbatical or other leave for greater than **one month**.

4 ENFORCEMENT

The IBC will not allow research projects assigned to BSL-2/BL2 (Biological Safety Level 2) to remain active when Principal Investigators (PI) go on sabbatical or a leave of greater than **one month**, without the assignment of a RI to oversee the research.

Violations of this policy and any related procedures may result in appropriate disciplinary measures in accordance with University Laws and By-Laws, General Rules of Conduct for All University Employees, applicable collective bargaining agreements, and the University of Connecticut Student Conduct Code.

5 RESPONSIBILITIES

5.1 PRINCIPAL INVESTIGATOR

- Must submit written notification to the IBC identifying the RI.

5.2 RESPONSIBLE INVESTIGATOR

- Has the same authority and responsibilities as the PI for the health and safety of the laboratory personnel and the administration and conduct of BSL-2 activities.

6 FORMS/PROCEDURES

Log in to [HuskySMS](#) to update personnel and their roles.